

Docket No. 278178US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Marco BERGEMANN, et al.

SERIAL NO: 10/550,910

GAU:

FILED: September 28, 2005

EXAMINER:

FOR: POLYALKENE AMINES WITH IMPROVED APPLICATIONAL PROPERTIES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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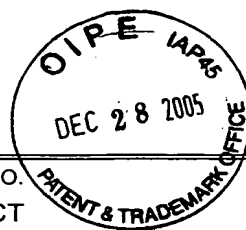
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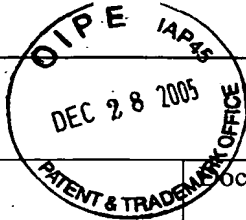
Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 278178US0PCT		SERIAL NO. 10/550,910	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Marco BERGEMANN, et al.			
				FILING DATE September 28, 2005		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 877 416	10/31/89	Curtis B. CAMPBELL			
	AB	4 849 572	07/18/89	Frank J. CHEN et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AC	00/47698	08/17/00	WO(equivalent of US 2005/44779)			NO
	AD	01/85874	11/15/01	WO(equivalent of US 6840970, & US 2003/140552)			NO
	AE	97/23586	07/03/97	WO(equivalent of US 6099602)			NO
	AF	224 616	11/11/87	EP(equivalent of US 4832702)			NO
	AG	277 345	08/10/88	EP(equivalent of US 4859210)			NO
	AH	94/24231	10/27/94	WO(equivalent of US 5746786)			NO
	AI	97/03946	02/06/97	WO(equivalent of US 6069281)			NO
	AJ	196 20 262	11/27/97	DE(with English abstract, and equivalent of US 6140541, & US 6909018)			NO
	AK	96/03367	02/08/96	WO(equivalent of US 5879420, US 5972856, & US 6005144)			NO
	AL	96/03479	02/08/96	WO(equivalent of US 5980594)			NO
	AM	476 485	03/25/92	EP( equivalent of US 6371999)			NO
	AN	307 815	03/22/89	EP(equivalent of US 4959077)			NO
	AO	87/01126	02/26/87	WO			NO
	AP	639 632	02/22/95	EP(with English abstract)			NO
	AQ	310 875	04/12/89	EP(with English abstract)			NO
	AR	356 725	03/07/90	EP(with English abstract)			NO
	AS	700 985	03/13/96	EP(equivalent of US 5660601)			NO
	AT	38 38 918	05/23/90	DE(equivalent of US 5004478)			NO
	AU	831 141	03/25/98	EP			NO
	AV	38 26 608	02/08/90	DE(equivalent of US 5112364)			NO
	AW	41 42 241	06/24/93	DE(equivalent of EP 548617, & US 5298039)			NO
	AX	43 09 074	09/22/94	DE(equivalent of US 6267791, & US 2002/29512)			NO
	AY	452 328	10/23/91	EP			NO
	AZ	101 02 913	07/25/02	DE(equivalent of US 2004/77507)			NO
	AAA	27 02 604	07/27/78	DE(equivalent of US 4152499)			NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AAB	M. ROSSENBECK, "Catalysts, surfactants, mineral oil additives, G. THIEME VERLAG, pages 223-229 1978				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



U.S. PCT Application Serial No.: 10/550,910  
Docket No.: 278178US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AC-AF have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA-AB, & AG-AAB are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.



Docket No.: 278178US0PCT

Serial No.: 10/550,910

Inventor: Marco BERGEMANN, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: September 28, 2005

Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	278349US0PCT	10/551,239	09/28/05		SCHWAHN, et al.
	278178US0PCT*	10/550,910	09/28/05		BERGEMANN, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/amr